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## Calibration of effective interface friction angle in granular flow impact experiment

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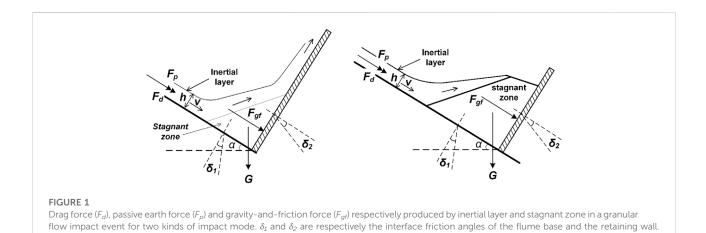
By using the normal and tangential forces measured in experiments of granular flow impact against a retaining wall, the effective interface friction angle of the wall was calculated in the dynamic phase and the static phase respectively, designated as  $\delta_{ec}$  and  $\delta_{es}$ , and both of them are smaller than the interface friction angle measured by laboratory test ( $\delta_2$ ). It is found  $\delta_{ec}$  shows an increasing trend against inclination angle ( $\beta$ ), which is opposite to that of  $\delta_{es}$ ; and  $\delta_{ec}$  has an underestimation of effective interface friction angle. By comparing the calculated normal forces of static phase and of dynamic phase with the measured values, it is found the lower bound relation between  $\delta_{es}/\delta_2$  and  $\beta$  obtained from regression analysis could well calibrate the effective interface friction angle for both the wall and flume base. It is also noted that the increasing trend of  $\delta_{ec}$  against  $\beta$  may be explained by the higher proportion of drag force at higher inclination angle.

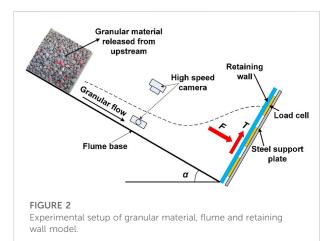
### KEYWORDS

granular flow, interface friction angle, retaining wall, impact, calibration

### **1** Introduction

A granular flow impact, such as a landslide or a rock avalanche impinging against a retaining wall or other structures, could generate tremendous impact force on the structures against its flow (Sovilla et al., 2008; Faug et al., 2011). As concluded by Faug et al. (2009) and Jiang et al. (Jiang and Towhata., 2013; Jiang et al., 2015) in a granular flow impact event for both impact modes, see Figure 1, the force exerted on a retaining wall is basically composed of three force components: a drag force ( $F_d$ ) (Buchholtz and Pöschel, 1998) and a passive earth force ( $F_p$ ) (Savage and Hutter, 1989), both of them are produced by the flowing or inertial layer; and a gravity-and-friction induced force ( $F_{gf}$ ) generated by the stagnant zone formed in front of the wall(Jiang and Towhata, 2013). According to the average velocity ( $\nu$ ) and depth of flowing layer (h), and the weight of stagnant zone (G), the three force components could be calculated by the Eqs. 1–3.





$$F_d = \frac{1}{2}\rho v^2 C_d h \tag{1}$$

In which  $C_d = aFr^{-n} = a(\frac{v}{\sqrt{gh}})^{-n}$ 

In w

$$F_p = \frac{1}{2} k_p \rho g h^2 \cos \alpha \qquad (2)$$

hich 
$$k_p = \frac{\cos \alpha + \sqrt{\cos^2 \alpha - \cos^2 \varphi}}{\cos \alpha + \sqrt{\cos^2 \alpha - \cos^2 \varphi}}$$
  
 $F_{gf} = G \frac{\sin (\alpha - \delta_1)}{\cos (\delta_1 + \delta_2)} \cos \delta_2$  (3)

In the previous research Bryant et al. (2014) reported that in a granular flow the actual basal friction is smaller than what measured in laboratory interface friction tests. While for a granular flow impact process Jiang et al. (2015) found for the stagnant zone the actual value of friction angle between granular material and the interface of retaining wall ( $\delta_2$  in Eq. 3) is also significantly smaller than the value measured by laboratory interface friction tests; also compared with  $\delta_2$ , the reduction of  $\delta_1$ .more significantly increases the value of  $F_{gf}$  calculated by Eq. 3. As a further extension of Jiang's

previous work, this paper provides a more detailed study of the reduction of interface friction angle and a calibration of the effective interface friction angles for impact force calculation.

## 2 Experimental methodology

As shown in Figure 2, the height and width of the flume were 0.35 m, and 0.3 m, respectively. In order to simulate a granular flow impact process, a mass of limestone particles (named as Particle1) were released on the upstream of the flume with an inclination of  $\alpha$ . There was a trigger gate in front face of the moving particles to control the release of the particles. After flowing a distance of 2.19 m, it impacted the retaining wall installed at the downstream of the flume. In the experiments the impact force exerted on the retaining wall was measured in both normal and tangential directions by an array of load cells. The total normal force (F) and total tangential force (T) could be deduced from the load cells records. The current methodology neglected the influence of non-uniform normal forces measured from the load cells and ignored the development of interface friction as a function of relative movement between the soil and the interface material. The motion of impact process and the surface velocity of granular flow were recorded by high speed cameras installed at the downstream of the flume. Each model test was named according to the length (L) and height (H) of the initial deposition, and the inclination angle of flume base ( $\alpha$ ), such as "Particle1-L44-H20-α45," which indicates an experiment of Particle1 with an initial length and height of 44 and 20 cm, and a inclination angle of 45°. By changing initial length, height and inclination angle 64 tests were done in total.

By referring to Burkalow (1945), Pudasaini et al. (2007) and Jiang and Towhata (2013), the interface friction angles of *Particle1* with flume base, retaining wall and side wall were measured by laboratory tests. In the tests a paper cylinder with a diameter of more than 10 times of mean particle diameter ( $D_{50}$ ) and a height of more than 5 times of  $D_{50}$ , was

### TABLE 1 Physical properties of particle.

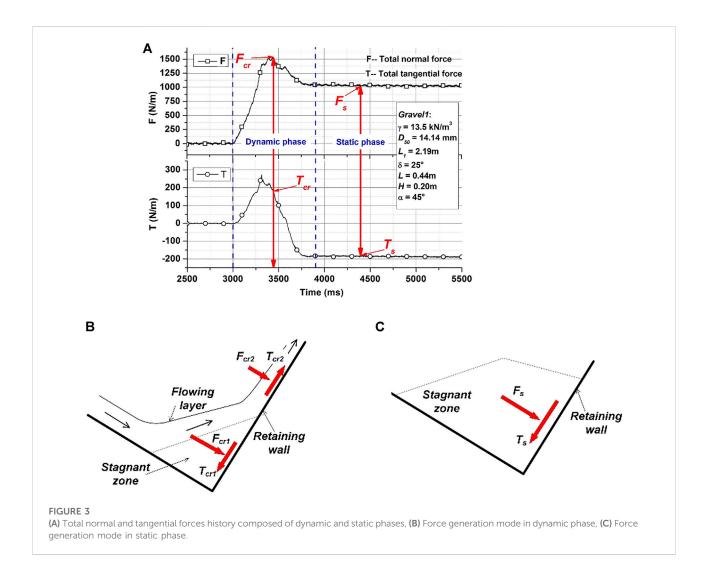
Property	Value
Minimum dry unit weight, γmin (kN/m3)	13.5
Maximum dry unit weight, ymax (kN/m3)	15.4
Mean particle diameter, D50 (mm)	14.1
Maximum particle diameter, Dmax (mm)	25.4
Minimum particle diameter, Dmin (mm)	1.68
Uniformity Coefficient, Cu	1.5
Dynamic internal friction angle, $\phi$ (°)	45
Basal friction angle, $\delta 1$ (°)	25
Friction angle of retaining wall, $\delta 2$ (°)	21
Friction angle of side wall, $\delta 3(°)$	15

friction. Then by slowly tilting the plate until the cylinder started to move, the inclination angle was recorded as the interface friction angle between *Particel1* and the plate. Physical properties of *Particle1* are listed in Table 1.

## **3** Results analysis

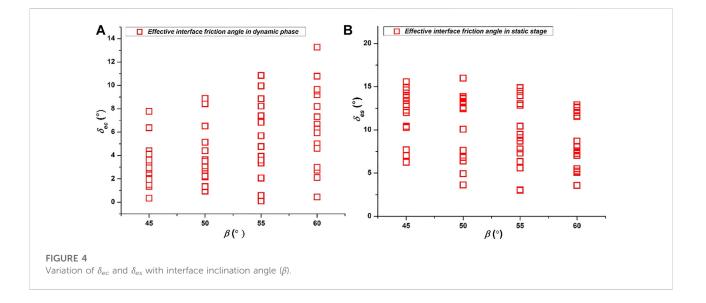
### 3.1 Reduction of interface friction

In order to calculate the effective interface friction angle in a granular flow impact process, first of all, the time history of the total normal force (F) and total tangential force (T) were plotted in Figure 3A. The force history could be categorized into two



filled with *Particle1* and placed on the plate which is the same material as flume base or retaining wall or side wall. A gap of half  $D_{50}$  was kept between paper cylinder and the plate to avoid

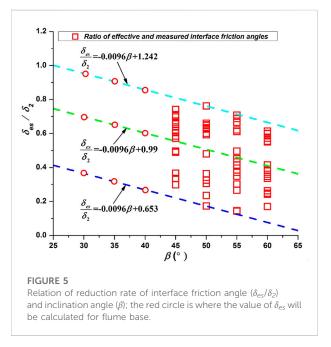
phases: one is the dynamic phase, in which granular flow interacts with the retaining wall, both the flowing layer and the stagnant zone determine the force on the wall (Figure 3B); the



other is the static phase, in which granular flow ceases, only the stagnant zone generates force on the wall (Figure 3C). For the dynamic phase the forces were deduced at the time when maximum F occurred, and the corresponding normal and tangential forces are defined as  $F_{cr}$  and  $T_{cr}$ ; while for the static phase, since both normal and tangential forces are constant, therefore, they were deduced at any time and defined as  $F_s$  and  $T_s$ .

 $F_{cr}$ ,  $T_{cr}$ ,  $F_{s}$ , and  $T_{s}$  were deduced for all the 64 experiments, thereafter, the effective interface friction angle of the dynamic phase was calculated by  $\delta_{ec}$  = arctan ( $|T_{cr}/F_{cr}|$ ); and the effective interface friction angle of the static phase was calculated by  $\delta_{es}$  = arctan ( $|T_{s}/F_{s}|$ ). In Figures 4A,B  $\delta_{ec}$  and  $\delta_{es}$  were respectively plotted against inclination angle of wall ( $\beta$  = 90°- $\alpha$ ) instead of inclination angle ( $\alpha$ ). In Figure 4A the maximum value of  $\delta_{ec}$  is less than 14°, and in Figure 4B the maximum value of  $\delta_{es}$  is less than 17°, which obviously indicates that both  $\delta_{ec}$  and  $\delta_{es}$  have a significant reduction from the laboratory measured  $\delta_2$  (21°). However, Figure 4A shows  $\delta_{ec}$  increases with  $\beta$ , which is totally opposite to the decreasing trend of  $\delta_{es}$  against  $\beta$  in Figure 4B. This divergence will be discussed in the last part of the paper.

As shown in Figure 3B in the dynamic phase the flowing layer produced a normal sub-force  $(F_{cr1})$  and a tangential sub-force  $(T_{cr1})$ ; meanwhile, the stagnant zone produce another pair of normal sub-force  $(F_{cr2})$  and tangential sub-force  $(T_{cr2})$ . It is clear that the two tangential sub-forces are opposite in direction, which means the resultant tangential force is smaller than either  $T_{cr1}$  or  $T_{cr2}$ , i.e., the effective interface friction angle calculated from  $\delta_{ec} = \arctan(|(T_{cr1} - T_{cr2})/(F_{cr1} + F_{cr2})|)$  is smaller than its actual value. While in Figure 3C there is only one tangential sub-force, i.e. the effective interface friction angle could be correctly calculated by  $\delta_{es} = \arctan(|T_s/F_s|)$ . Therefore, it is rational to assess the effective interface friction angle based on the normal and tangential forces in the static phase.



# 3.2 Calibration of effective interface friction angle

In Figure 4B the effective interface friction angle ( $\delta_{es}$ ) was calculated for the wall, however, from Figure 1 and Eq. 3 it is known that the normal force on the wall is determined by both the frictions of the wall and flume base. Therefore, it is also necessary to evaluate the effective interface friction angle of flume base. Since the laboratory measured interface friction angles of the wall and the flume base are close (Table 1), therefore, authors assume the reduction law for the wall and the flume base is the same; and if a relation between  $\delta_{es}$  and  $\beta$  could be obtained from

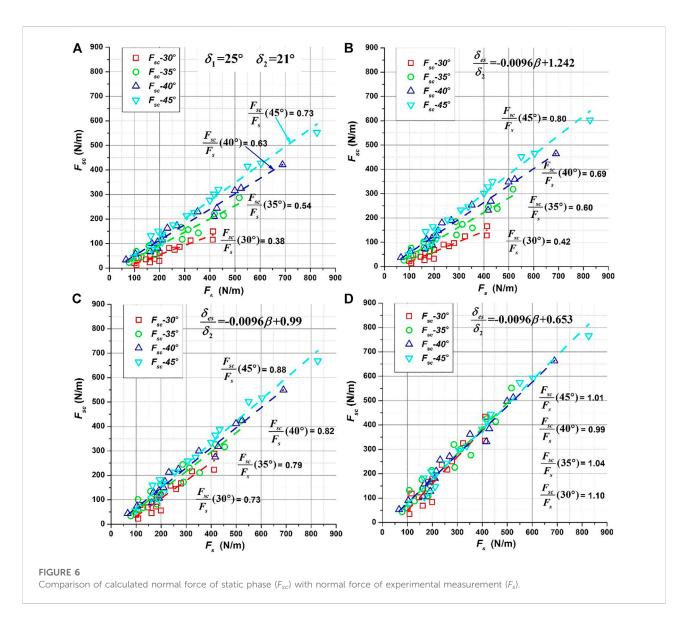


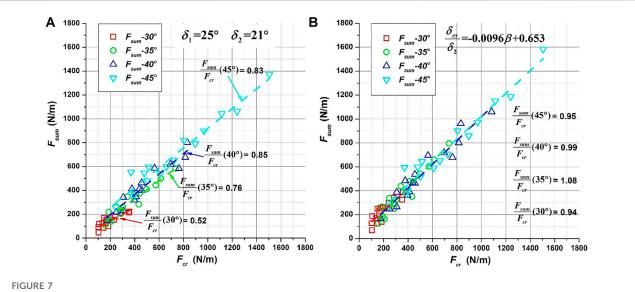
Figure 4B, it will be also applicable to the calibration of the effective interface friction angle of flume base.

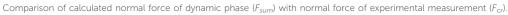
In order to obtain one proper relation to calibrate  $\delta_{es}$ , first,  $\delta_{es}$  was normalized by  $\delta_2$  and plotted against  $\beta$  in Figure 5. The green dash line is the fitting of the data and defined as intermediate relation. Since for each  $\beta$  the value of  $\delta_{es}/\delta_2$  has a wide scope, therefore, by offsetting the green line to the greatest and lowest bounds of  $\delta_{es}/\delta_2$ , an upper bound (light blue dash line) and a lower bound (deep blue dash line) relationships were found. According to the  $\beta$  of flume base and wall, the corresponding  $\delta_{es}$  will be calculated. Consequently, it is going to be verified that if any of the relations could well calibrate  $\delta_{es}$ .

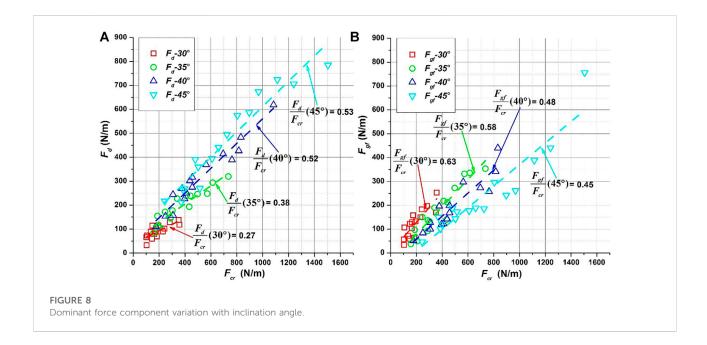
In Figure 6, the normal force in static phase was calculated by Eq. 3 and designated as  $F_{sc}$ , and plotted against experimentally measured  $F_s$ . From Figures 6A–D the interface friction angles were either set as  $\delta_1$  and  $\delta_2$  or calculated by the three fitting relations in Figure 5.

Different colors was used to differentiate the results of different inclination angle. In Figure 6A it is clear that using  $\delta_I = 25^\circ$  and  $\delta_2 = 21^\circ$  the calculated  $F_{sc}$  were smaller than the measured  $F_{si}$  and with inclination angle ( $\alpha$ ) decreasing, the ratio of  $F_{sc}$  over  $F_s$  decreases from 0.73 to 0.38, i.e., the smaller the inclination angle is, the greater  $F_{sc}$  deviates from  $F_{s}$ .

From Figure 6B and Figure 6D, the ratio of  $F_{sc}/F_s$  get greater from upper bound relation to intermediate relation and to lower bound relation. As shown in Figure 6D for all the inclination angles the ratios of  $F_{sc}/F_s$  are close to 1.0, i.e., the lower bound relation gives the most accurate calibration of  $\delta_{es}$ . As a second validation, the normal impact force of dynamic phase was calculated by summation of Eqs. 1,3 and designated as  $F_{sum}$ . In Figures 7A,B  $F_{sum}$  were respectively calculated by using the interface friction angle measured by laboratory test and that calibrated by lower bound relation, and were plotted







against the experimentally measured impact force ( $F_{cr}$ ). In Figure 7A it is clear before calibration the ratio of  $F_{sum}/F_{cr}$  is always smaller than 1.0, and also decreases with inclination angle; for inclination angle of 30° the  $F_{sum}$  is only half of  $F_{cr}$ . After calibration for all the inclination angles the ratio of  $F_{sum}/F_{cr}$  is very close to 1.0, which once again indicates that the lower bound relation is suitable for the calibration of effective interface friction angle.

### 4 Discussion

In Section 3, Figures 4A,B present two opposite trends between  $\delta_{es}$  and  $\beta$ . Since Figure 4B is already validated to be the correct representation of effective interface friction angle, hereby, the reason for the decreasing trend between  $\delta_{ec}$  and  $\beta$ in Figure 4A is going to be discussed. First, the two main force components,  $F_d$  and  $F_{gf}$ , were respectively plotted against  $F_{cr}$  in Figure 8, in which Figure 8A shows for higher inclination angle the proportion of  $F_d$  is higher; and Figure 8B shows for lower inclination angle the proportion of  $F_{gf}$  is higher. For higher inclination angle where the drag force is in domination (Figure 8A), the relatively thicker flowing layer (Figure 1A) produces more upward tangential sub-force; vice versa, for lower inclination angle where the gravity-and-friction force is dominating (Figure 8B), the flowing layer is thinner (Figure 1B), and less upward tangential sub-force is produced. Consequently, for higher inclination angle the resultant tangential force is relatively smaller, the effective friction angle calculated by  $\delta_{ec} = \arctan \left( |(T_{cr1} - T_{cr2})/(F_{cr1} + F_{cr2})| \right)$  is accordingly smaller, which accounts for the trend in Figure 4A.

## **5** Conclusion

The effective interface friction angle of the wall calculated for the dynamic phase and the static phase ( $\delta_{ec}$  and  $\delta_{es}$ ) are smaller than the laboratory measured  $\delta_2$ . It is found  $\delta_{ec}$  shows an increasing trend against inclination angle ( $\beta$ ), which is opposite to that of  $\delta_{es}$ ; and  $\delta_{ec}$  has an underestimation of effective interface friction angle. By using regression analysis an upper bound relation, an intermediate relation and a lower bound relation were obtained for  $\delta_{es}/\delta_2$  and  $\beta$ . It is found the lower bound relation could well calibrate the effective interface friction angle for both the wall and flume base. It is also noted that the increasing trend of  $\delta_{ec}$ against  $\beta$  in Figure 4A may be explained by the higher proportion of gravity-friction force at lower inclination angle, i.e., the higher proportion of drag force at higher inclination angle.

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### Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

### Author contributions

BZ contributed to conception and investigation of the study and wrote the first draft of the manuscript. All authors contributed to manuscript revision, read, and approved the submitted version.

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## Conflict of interest

Authors BZ, YL, XK, CL, XX and YD were employed by China Electric Power Research Institute Co., Ltd. Author YZ was employed by State Grid Smart Internet of Vehicles Co., Ltd.

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#### Glossary F Total normal force, N/m $\delta_1$ Interface basal friction angle, ° a Empirical constant $F_{cr}$ Total normal force of dynamic phase, N/m T Total tangential force, N/m $\delta_2$ Interface friction angle of retaining wall, ° g Gravitational acceleration, m/s<sup>-2</sup> $F_{crl}$ Normal sub-force of dynamic phase, N/m $T_{cr}$ Total tangential forces of dynamic phase, N/m $\delta_3$ Interface friction angle of side wall, ° h Flow thickness, m $F_{cr2}$ Normal sub-force of dynamic phase, N/m T<sub>cr1</sub> Tangential sub-force of dynamic phase, N/m $\delta_{ec}$ Equivalent interface friction angle of dynamic phase, ° $k_p$ Passive earth pressure coefficient $F_s$ Total normal force of static phase, N/m $T_{cr2}$ Tangential sub-force of dynamic phase, N/m $\delta_{es}$ Equivalent interface friction angle of static phase, ° *n* Empirical constant $F_{sum}$ Total normal force calculated by equation, N/m $T_s$ Total tangential force of static phase, N/m $\theta$ Angle of repose, ° v Depth-averaged velocity, m/s G Weight of the stagnant zone, N/m D<sub>min</sub> Minimum particle diameter, mm $\rho$ Density of granular flow, kN/m<sup>3</sup> $C_d$ Empirical drag coefficient H Height of the initial deposit, m $\alpha$ Inclination angle of flume base, ° $\varphi$ Dynamic internal friction angle, ° D<sub>50</sub> Mean particle diameter, mm L Length of the initial deposit, m $\beta$ Inclination angle, ° Fd Drag force, N/m D<sub>max</sub> Maximum particle diameter, m Fp, Passive earth force, N/m $\gamma_{min}$ Minimum dry unit weight, kN/m<sup>3</sup> Fgf Gravity-and-friction-induced force, N/m Fr Froude number Kp Passive coefficient $\gamma_{max}$ Maximum dry unit weight, kN/m<sup>3</sup>